

# OpenAIRE

## **FP7 Post-Grant Gold Open Access Pilot**

June 15, 2017 Gwen Franck gwen.franck@kb.nl





## **CopenAIRE** The Pilot in a nutshell

- Reimbursement of article/book processing charges for Open Access publications resulting from FP7 projects
- First period: May 2015 April 2017
- Extension granted end of April 2017
  - + 10 months (until February 28 2018)
- Reasons for extension
  - Only half of funds spent (as of April 2017)
  - Wish for a larger dataset resulting from it
  - Traction was increasing in the last months



## FP7 Post Grant Open Access Pilot

- FP7 projects
  - Did not write any OA costs in their budgets
- Post Grant, but ended no more than 2 years ago
- Full Open Access
- 3 publications per project (articles, monographs, proceedings, …)
- Funding caps: 2000€/6000€
- No HYBRID journals
- <u>Pilot</u>: no policy



## What are HYBRID Journals?

- Journals that offer an Open Access option for certain articles
- But still charge subscription fees for other articles and/or the journal as a whole
- Pilot does not support this ('double dipping')
- Alternatives:
  - Look for a full OA alternative (e.g. on Directory of Open Access Journals <u>www.doaj.org</u> or other 'white' lists)
  - Publish in these journals, but go for the 'green' route and deposit the article in a repository



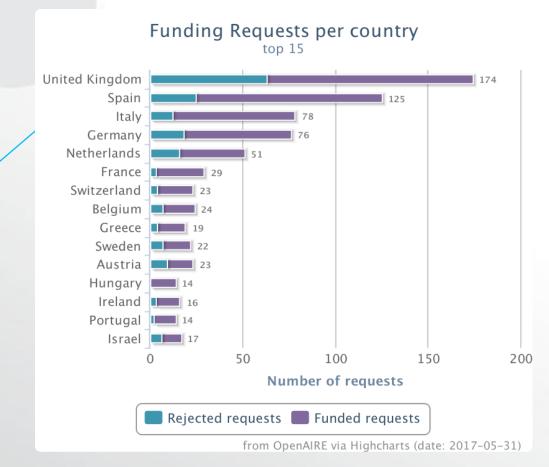


- 801 publications in the system: paid, (conditionally) approved, processing payment
- For a total of € 1 279 712,48
- 100+ publications via pre-payment agreements with publishers (not in the system yet)





## **Statistics**



- Greece: 19 publications
- Total APC/BPC reimbursed: 24 541,96 €
- Median: 1386,32 €
- Average: 1 291,68 €





Aristotle University of Thessaloniki

Aristotle University of Thessaloniki

Aristotle University of Thessaloniki

CENTRE FOR RESEARCH AND TECHNOLOGY HELLAS

FOUNDATION FOR RESEARCH AND TECHNOLOGY HELLAS

Foundation for Research and Technology-Hellas (FORTH)

Foundation for Research and Technology-Hellas (FORTH)

KENTRO KAINOTOMON TECHNOLOGION AE

NATIONAL AND KAPODISTRIAN UNIVERSITY OF ATHENS

NATIONAL AND KAPODISTRIAN UNIVERSITY OF ATHENS

NATIONAL TECHNICAL UNIVERSITY OF ATHENS - NTUA

NATIONAL TECHNICAL UNIVERSITY OF ATHENS - NTUA

NATIONAL TECHNICAL UNIVERSITY OF ATHENS - NTUA

NCSR "DEMOKRITOS"

PANEPISTIMIO THESSALIAS (UNIVERSITY OF THESSALY)

TECHNOLOGIKO EKPEDEFTIKO IDRYMA IPIROU

University of Crete

UNIVERSITY OF PATRAS

LINIVERSITY OF PATRAS

Greek submissions:	Submissions in the system:		
PLoS ONE	· Scientific Reports	· 89	)
Water	· PLoS ONE	· 68	3
Journal of Biomedical Semantics	Nature Communications	· 40	)
Journal of Biomedical Semantics	• Atmospheric Chemistry and Physics	· 23	3
Optics Express	· Sensors	· 22	2
PLoS Computational Biology	· Optics Express	· 17	
BMC Medical Informatics and Decision Making	· Biogeosciences Discussions	· 13	
	Frontiers in Plant Science	· 12	
Optics Express	Cell Reports	• 11	
IEEE Access	Frontiers in Microbiology	· 11	
Annales Geophysicae	Environmental Research Letters	· 10	)
Molecules	<ul> <li>Frontiers in Psychology</li> <li>BMC Genomics</li> </ul>	· 9	
PLoS ONE	· BMJ Open	· 8 · 8	
	BMC Medical Informatics and Decision Making	· 6	
Journal of Electrical and Computer Engineering		Ū	
International Journal of Computational Intelligence Systems	· Energies	· 6	
Frontiers in Marine Science	PLoS Computational Biology	· 6	
Open Life Sciences	BMC Bioinformatics	· 5	
Journal of Transportation Technologies	· Ecology and Society	• 5	
BMJ Open			
	New Journal of Physics	• 5	
Journal of Nanomaterials	· PloS Genetics	• 5	
Nanomaterials and Nanotechnology	· Science Advances	· 5	



### Researcher submits

- Provides invoice
  - Addressed to Athena Research Centre OR
  - Original invoice to researcher/institution + request for reimbursement addressed to ARC
- Institution identifies eligible publications and submits on behalf of researcher
  - Provides suitable invoice OR
  - Funds directly from block grant, no invoice needed
- Publisher with block grant identifies eligible publications
  - Submits on behalf of researcher OR
  - Sends potentially eligible publications to LIBER
    - Funds directly from block grant, no invoice needed
    - Cross-check performed by LIBER





### https://postgrantoapilot.openaire.eu



SIGN IN REGISTER

HOME SUPPORT CONTACT US

Is your project eligible?

Q Search.

hesitate to contact us

Type your FP7 acronym/granted or project name to see if you can request funding for your publication

If you cannot find your project in our database, do not

SIGN IN

New User? Start here

View eligible projects by organization...

#### Requesting funds for post-grant FP7 publications?

Use this service to request and monitor funding for Open Access publishing fees as described in the EC FP7 postgrant Gold Open Access Pilot.

- Researchers: request funding through a guided process
- Project Coordinators: see funding opportunities and monitor project results
- Institutions: claim funding on behalf of your researchers, monitor your institution's FP7 post-grant traffic
- Publishers: deliver information on APCs, manage invoices, monitor journal FP7 post-grant traffic

#### SOME IMPORTANT INFO

- Only publications that fulfill our guidelines and technical requirements will be funded. Please read carefully before you proceed.
- Our service integrates data from various sources (CORDA, OpenAIRE, CrossRef, "Open access article
- Processing charges May 2014" database by Heather Morrison) so as to make the process as user-friendly as possible. Let us know how we can improve.

#### Need more information?





- Eligibility check is automatic, based on OpenAIRE API
  - End date
  - # of publications
- Project Coordinator gets an automatic notification, can object against funding
- Service is 'agnostic' first come, first served

### Is your project eligible?

Type your FP7 acronym/granted or project name to see if you can request funding for your publication

Q FOSTER - 612425 (FACILITATE OPEN SCIEN

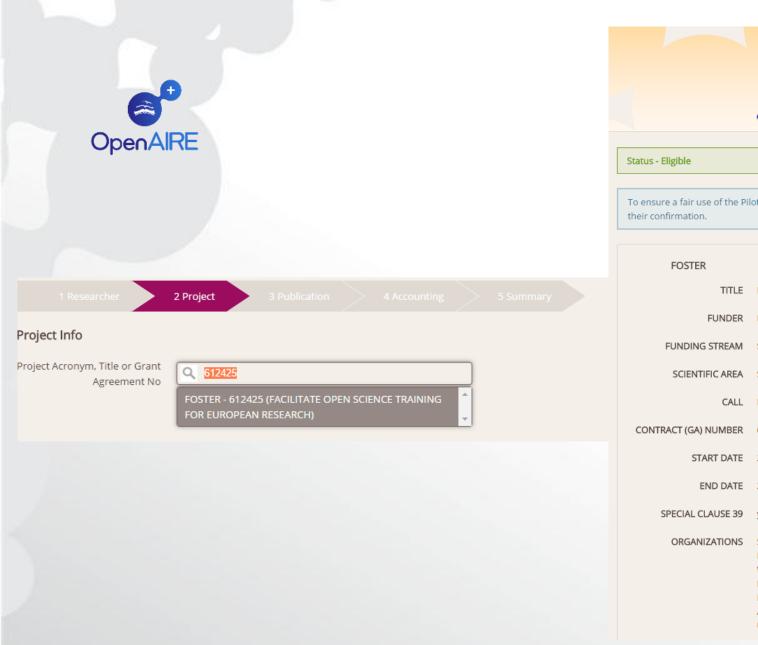
*If you cannot find your project in our database, do not hesitate to contact us* 

Status - Eligible

View eligible projects by organization...

OpenAIRE

1 Researcher				
esearcher Info				
Please make sure that the in	formation you provider is o	correct, becaus	e you will not be able to alte	er it after you click "Submit".
Name (*)	Gwen	Initials	Franck	
E-mail Address (*)	gwen.franck@kb.nl			
Affiliation (*)			Department (optional)	×
	Search using the name as + Add another affiliation		UKDA	



	FP7 post-grant Open Access Pilot OpenAIRE	M
us - Eligible		
nsure a fair use of the Pi r confirmation.	lot funding, you should be aware that we will notify the Project Coordinator and ask for	
FOSTER	remaining publications for funding 3	
TITLE	FACILITATE OPEN SCIENCE TRAINING FOR EUROPEAN RESEARCH	
FUNDER	FP7	
FUNDING STREAM	SP4	
SCIENTIFIC AREA	SIS	
CALL	FP7-SCIENCE-IN-SOCIETY-2013-1	
ONTRACT (GA) NUMBER	612425	
START DATE	2014/02/01	
END DATE	2016/01/31	
SPECIAL CLAUSE 39	yes	
ORGANIZATIONS	STICHTING SPARC EUROPE, STICHTING LIBER, CONSORTIUM UNIVERSITAIRE DE PUBLICATIONS NUMERIQUES COUPERIN, STICHTING EIFL.NET, UNIWERSYTET WARSZAWSKI, GEORG-AUGUST-UNIVERSITAET GOETTINGEN STIFTUNG OEFFENTLICHEN RECHTS, THE OPEN UNIVERSITY, UNIVERSITY OF GLASGOW, THE UNIVERSITY OF EDINBURGH, TECHNISCHE UNIVERSITEIT DELFT, DANMARKS TEKNISKE UNIVERSITET, AGENCIA ESTATAL CONSEJO SUPERIOR DE INVESTIGACIONES CIENTIFICAS, UNIVERSIDADE DO MINHO	

	Publication Info					CLEAR
DpenAIRE		DOI	10.5281/zenodo.4	9960	Resolve	
				DOI and click resolve so that theck out our instructions on	mplete the publication info	rmation.
				Hello, Gwen Franck   LOGOUT		
FP7 post-grant Op OpenAIRE		MANAG	e requests monitor st	ATISTICS SUPPORT CONTACT US		
Publication Date	2017/03/04			ABOUT THE JOURNAL APCs: We are doing our best to collect		
Acceptance Date (*)	2017/02/04			<i>listed APC information</i> from publishers and our affiliated institutions. As these data is changing		
	languages as a comma-separated list			we would like you to enter the prices handed to you on from the publishers. In case you have an		
Subjects	subjects as a comma-separated list Select type value	×		additional discount you will be able to tell us about it in the next step. Licenses: Our guidelines state that		
	+ Add another identifier			you need to publish under CC-BY, CC- NC and these should be supported by the publisher. In case the journal has		
Journal/Publisher Info				a more restrictive or different license, our staff will need to look at it (they will be automatically notified).		
Journal Title (*)	ournal journal of Genetics (0975-2862,0975-9	58) <b>^</b> on	1			
	International Journal of Machine Intelligence (0975- 2927,0975-9166)					

OpenAIRE

	Upload your invoice Invoice number (*)
OpenAIRE	Alternative ID
	Date issued (*) 2017/05/31
	Invoice (*) Choose File No file chosen
	UPLOAD
ges	
us - Eligible	
From the OpenAIRE database	Got new values?
APCs 14625 Indian rupee 202.45 Euros	APCs 14625 Indian ruț 🔻 202.45 Euros
scount 0 %	Discount 0 %
% charging to the pilot? 100	96
Requested Funding 14625 202.45 Euros	Indian rupee

Bank information	
Name of bank (*)	
Address of bank (*)	388
Account holder (*)	
Account number (IBAN	
format) (*)	
Bank code (BIC) / SWIFT	
code (*)	

Charges

Status - Eligible

Discount 0

### Take note:

 Invoice needs to be attached

**OpenAIRE** 

- Publisher issued: Addressed to Athena Research Centre
- If invoice is paid for by institution: original invoice + request for reimbursement addressed to ARC
- NO or INCORRECT invoice: no approval (status: 'conditionally approved')

#### Frontiers Media SA EPFL - Innovation Square Building I CH-1015 Lausanne, Switzerland VAT Number CHE-114.168.540 TVA www.frontiersin.org

For information: Mr Ioannis Papaioannou accounting@frontiersin.org Tel +41 21 510 17 03



Invoice	
Invoice #:	2015-0033510-5
Date Issued:	13 Apr 2015
Date Due:	13 May 2015
Our Reference:	10.3389/fnins.2015.00149_Craig

Bill to: Athena" Research Centre Artemidos 6 & Epidavrou GR-151 25 Athens VAT NUMBER: EL999723442 Greece

Description of Services: 1 x Article Processing Fee

Title "Movement and Perceptual Strategies to Intercept Virtual Sound Sources.", by Naeem Komeilipoor<sup>\*</sup>, Matthew Rodger, Paola Cesari and Cathy Craig, published in "Auditory Cognitive Neuroscience".





### • Requests are manually reviewed and approved by LIBER:

- Double check CORDIS (end-date)
- NO HYBRID Journals
- Project Coordinator approval
- Invoice correct ?





## OpenAIRE Contact information

## Gwen.Franck@KB.nl

- <u>https://postgrantoapilot.openaire.eu</u>
- https://www.openaire.eu/support/faq#ifaqCat-132





