



Ligue des Bibliothèques Européennes de Recherche
Association of European Research Libraries

OpenAIRE

FP7 Post-Grant Gold Open Access Pilot

June 15, 2017

Gwen Franck

gwen.franck@kb.nl



The Pilot in a nutshell

- Reimbursement of article/book processing charges for Open Access publications resulting from FP7 projects
- First period: May 2015 – April 2017
- Extension granted end of April 2017
 - + 10 months (until February 28 2018)
- Reasons for extension
 - Only half of funds spent (as of April 2017)
 - Wish for a larger dataset resulting from it
 - Traction was increasing in the last months

FP7 Post Grant Open Access Pilot



- **FP7 projects**
 - Did not write any OA costs in their budgets
- **Post Grant**, but ended no more than 2 years ago
- **Full Open Access**
- **3 publications per project** (articles, monographs, proceedings, ...)
- **Funding caps: 2000€/6000€**
- **No HYBRID journals**
- **Pilot: no policy**

What are HYBRID Journals?



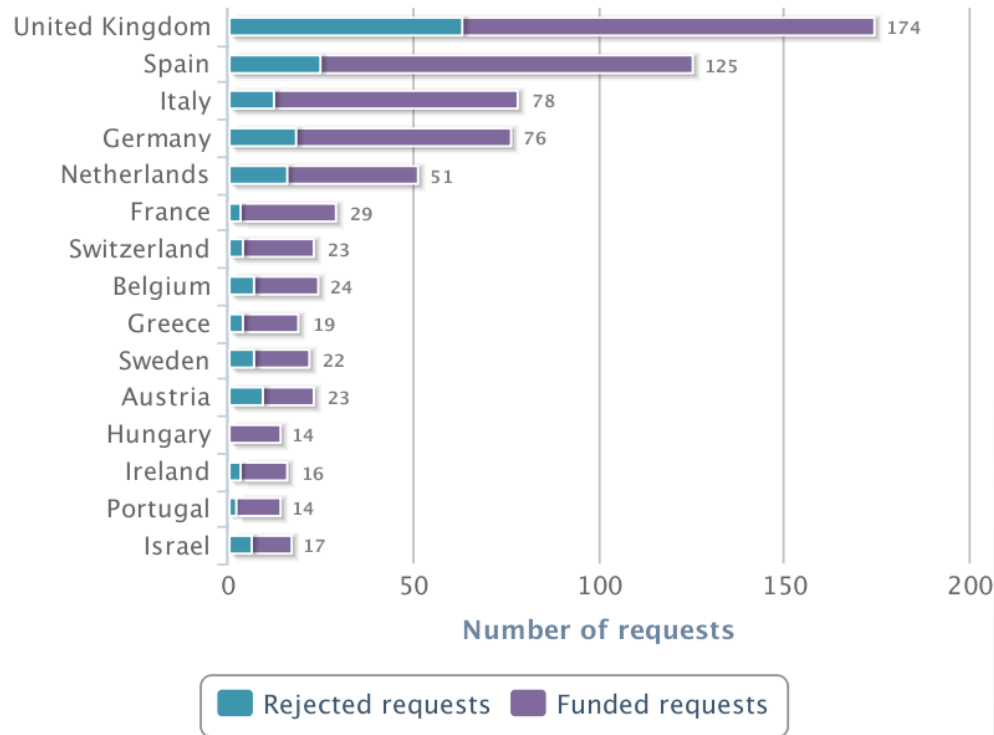
- Journals that offer an Open Access option for certain articles
- But still charge subscription fees for other articles and/or the journal as a whole
- Pilot does not support this ('double dipping')
- Alternatives:
 - Look for a full OA alternative (e.g. on Directory of Open Access Journals www.doaj.org or other 'white' lists)
 - Publish in these journals, but go for the 'green' route and deposit the article in a repository

Statistics

- **801 publications in the system: paid, (conditionally) approved, processing payment**
- **For a total of € 1 279 712,48**
- **100+ publications via pre-payment agreements with publishers (not in the system yet)**

Statistics

Funding Requests per country
top 15



from OpenAIRE via Highcharts (date: 2017-05-31)

- **Greece: 19 publications**
- Total APC/BPC reimbursed: 24 541,96 €
- Median: 1386,32 €
- Average: 1 291,68 €



Aristotle University of Thessaloniki
Aristotle University of Thessaloniki
Aristotle University of Thessaloniki
CENTRE FOR RESEARCH AND TECHNOLOGY HELLAS
FOUNDATION FOR RESEARCH AND TECHNOLOGY HELLAS
Foundation for Research and Technology-Hellas (FORTH)
Foundation for Research and Technology-Hellas (FORTH)
KENTRO KAINOTOMON TECHNOLOGION AE
NATIONAL AND KAPODISTRIAN UNIVERSITY OF ATHENS
NATIONAL AND KAPODISTRIAN UNIVERSITY OF ATHENS
NATIONAL TECHNICAL UNIVERSITY OF ATHENS - NTUA
NATIONAL TECHNICAL UNIVERSITY OF ATHENS - NTUA
NATIONAL TECHNICAL UNIVERSITY OF ATHENS - NTUA
NCSR "DEMOKRITOS"
PANEPISTIMIO THESSALIAS (UNIVERSITY OF THESSALY)
TECHNOLOGIKO EKPEDEFTIKO IDRYMA IPIROU
University of Crete
UNIVERSITY OF PATRAS
UNIVERSITY OF PATRAS

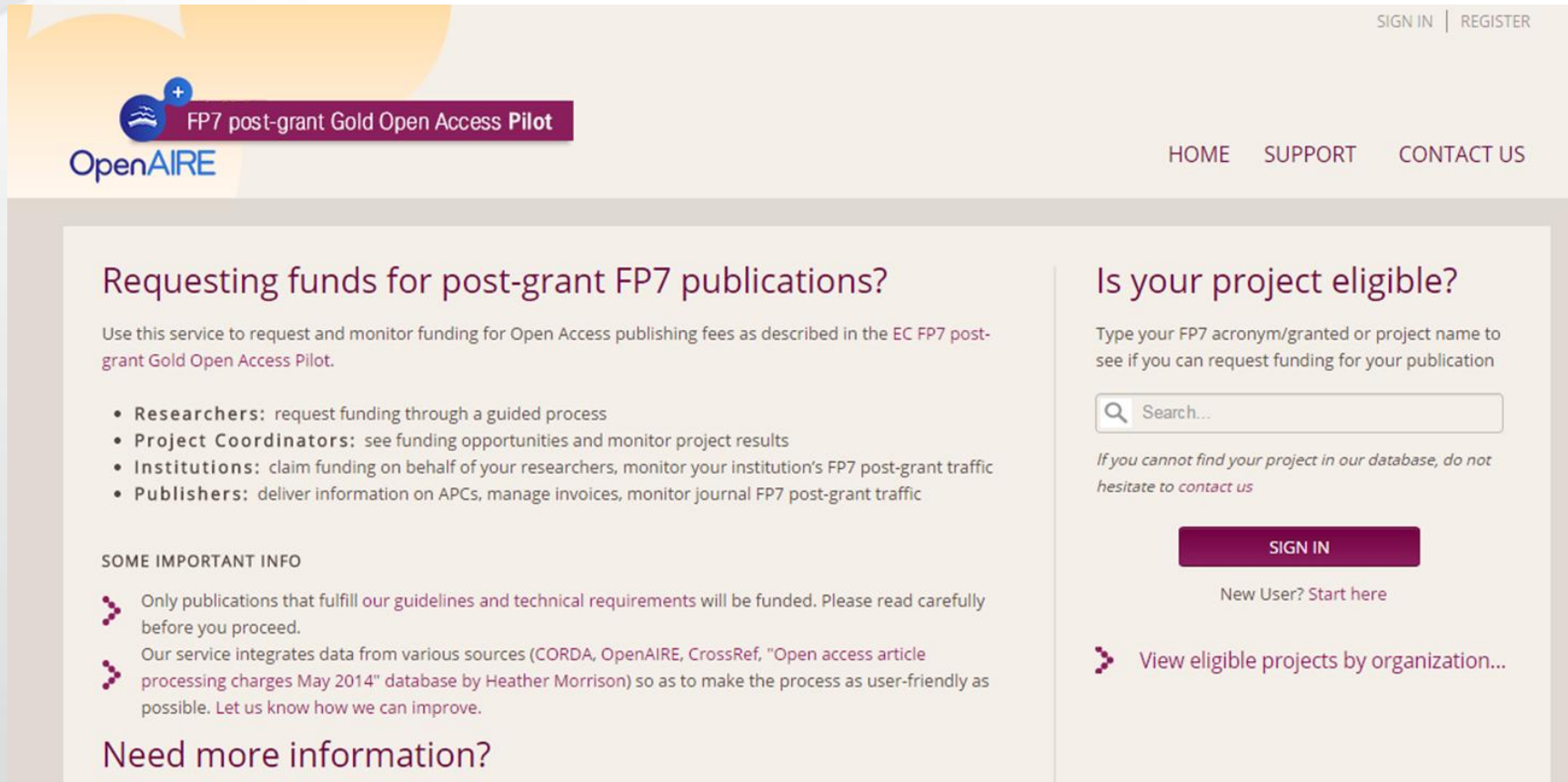
Greek submissions:	• Submissions in the system:	
PLoS ONE	• Scientific Reports	• 89
Water	• PLoS ONE	• 68
Journal of Biomedical Semantics	• Nature Communications	• 40
Optics Express	• Atmospheric Chemistry and Physics	• 23
PLoS Computational Biology	• Sensors	• 22
BMC Medical Informatics and Decision Making	• Optics Express	• 17
Optics Express	• Biogeosciences Discussions	• 13
IEEE Access	• Frontiers in Plant Science	• 12
Annales Geophysicae	• Cell Reports	• 11
Molecules	• Frontiers in Microbiology	• 11
PLoS ONE	• Environmental Research Letters	• 10
Journal of Electrical and Computer Engineering	• Frontiers in Psychology	• 9
International Journal of Computational Intelligence Systems	• BMC Genomics	• 8
Frontiers in Marine Science	• BMJ Open	• 8
Open Life Sciences	• BMC Medical Informatics and Decision Making	• 6
Journal of Transportation Technologies	• Energies	• 6
BMJ Open	• PLoS Computational Biology	• 6
Journal of Nanomaterials	• BMC Bioinformatics	• 5
Nanomaterials and Nanotechnology	• Ecology and Society	• 5
	• New Journal of Physics	• 5
	• PloS Genetics	• 5
	• Science Advances	• 5

Workflows

- **Researcher submits**
 - Provides invoice
 - Addressed to Athena Research Centre OR
 - Original invoice to researcher/institution + request for reimbursement addressed to ARC
- **Institution identifies eligible publications and submits on behalf of researcher**
 - Provides suitable invoice OR
 - Funds directly from block grant, no invoice needed
- **Publisher with block grant identifies eligible publications**
 - Submits on behalf of researcher OR
 - Sends potentially eligible publications to LIBER
 - Funds directly from block grant, no invoice needed
 - Cross-check performed by LIBER

Workflow

- <https://postgrantoapilot.openaire.eu>



The screenshot shows the homepage of the 'FP7 post-grant Gold Open Access Pilot' website. The header includes the OpenAIRE logo, a navigation menu with 'HOME', 'SUPPORT', and 'CONTACT US', and links for 'SIGN IN' and 'REGISTER'. The main content area is divided into two columns. The left column features a heading 'Requesting funds for post-grant FP7 publications?' followed by a brief description and a bulleted list of roles: Researchers, Project Coordinators, Institutions, and Publishers. Below this is a section titled 'SOME IMPORTANT INFO' with two bullet points. The right column has a heading 'Is your project eligible?' with a search box and a 'SIGN IN' button. A link to 'View eligible projects by organization...' is located at the bottom of the right column.

Requesting funds for post-grant FP7 publications?

Use this service to request and monitor funding for Open Access publishing fees as described in the EC FP7 post-grant Gold Open Access Pilot.

- **Researchers:** request funding through a guided process
- **Project Coordinators:** see funding opportunities and monitor project results
- **Institutions:** claim funding on behalf of your researchers, monitor your institution's FP7 post-grant traffic
- **Publishers:** deliver information on APCs, manage invoices, monitor journal FP7 post-grant traffic

SOME IMPORTANT INFO

- Only publications that fulfill our guidelines and technical requirements will be funded. Please read carefully before you proceed.
- Our service integrates data from various sources (CORDA, OpenAIRE, CrossRef, "Open access article processing charges May 2014" database by Heather Morrison) so as to make the process as user-friendly as possible. Let us know how we can improve.

Need more information?

Is your project eligible?

Type your FP7 acronym/granted or project name to see if you can request funding for your publication

Search...

If you cannot find your project in our database, do not hesitate to contact us

SIGN IN

New User? Start here

➤ View eligible projects by organization...



- **Eligibility check is automatic, based on OpenAIRE API**
 - **End date**
 - **# of publications**
- **Project Coordinator gets an automatic notification, can object against funding**
- **Service is 'agnostic' – first come, first served**

Is your project eligible?

Type your FP7 acronym/granted or project name to see if you can request funding for your publication

If you cannot find your project in our database, do not hesitate to [contact us](#)

Status - Eligible

[➤ View eligible projects by organization...](#)

1 Researcher

2 Project

3 Publication

4 Accounting

5 Summary

Researcher Info

Please make sure that the information you provide is correct, because you will not be able to alter it after you click "Submit".

Name (*)

Gwen

Initials

Franck

E-mail Address (*)

gwen.franck@kb.nl

Affiliation (*)

STICHTING LIBER

Department (optional)

✘

Search using the name as it appears in CORDA

[+ Add another affiliation](#)

BACK

NEXT

Project Info

Project Acronym, Title or Grant Agreement No

FOSTER - 612425 (FACILITATE OPEN SCIENCE TRAINING FOR EUROPEAN RESEARCH)

Status - Eligible

To ensure a fair use of the Pilot funding, you should be aware that we will notify the Project Coordinator and ask for their confirmation.

FOSTER

... remaining publications for funding **3**

TITLE	FACILITATE OPEN SCIENCE TRAINING FOR EUROPEAN RESEARCH
FUNDER	FP7
FUNDING STREAM	SP4
SCIENTIFIC AREA	SIS
CALL	FP7-SCIENCE-IN-SOCIETY-2013-1
CONTRACT (GA) NUMBER	612425
START DATE	2014/02/01
END DATE	2016/01/31
SPECIAL CLAUSE 39	yes
ORGANIZATIONS	STICHTING SPARC EUROPE, STICHTING LIBER, CONSORTIUM UNIVERSITAIRE DE PUBLICATIONS NUMERIQUES COUPERIN, STICHTING EIFL.NET, UNIWERSYTET WARSZAWSKI, GEORG-AUGUST-UNIVERSITAET GOETTINGEN STIFTUNG OEFFENTLICHEN RECHTS, THE OPEN UNIVERSITY, UNIVERSITY OF GLASGOW, THE UNIVERSITY OF EDINBURGH, TECHNISCHE UNIVERSITEIT DELFT, DANMARKS TEKNISKE UNIVERSITET, AGENCIA ESTATAL CONSEJO SUPERIOR DE INVESTIGACIONES CIENTIFICAS, UNIVERSIDADE DO MINHO



Publication Info

CLEAR

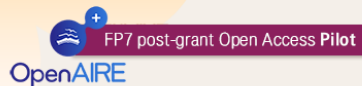
DOI

Resolve

Enter the publication DOI and click resolve so that we can auto complete the publication information.

If you don't have it, check out our instructions on the right.

Hello, Gwen Franck | LOGOUT



HOME MANAGE REQUESTS MONITOR STATISTICS SUPPORT CONTACT US

Publication Date

Acceptance Date (*)

Languages

Subjects

Identifiers

Select type...

value



+ Add another identifier

ABOUT THE JOURNAL

APCs: We are doing our best to collect *listed APC information* from publishers and our affiliated institutions. As these data is changing we would like you to enter the prices handed to you on from the publishers. In case you have an additional discount you will be able to tell us about it in the next step.

Licenses: Our guidelines state that you need to publish under CC-BY, CC-NC and these should be supported by the publisher. In case the journal has a more restrictive or different license, our staff will need to look at it (they will be automatically notified).

Journal/Publisher Info

Journal Title (*)

International Journal of Genetics (0975-2862,0975-9158)

International Journal of Machine Intelligence (0975-2927,0975-9166)

Journal of Orthopaedic Case Reports (2250-0685)

International Journal of Engineering Research and Applications (2248-9622)

BACK

NEXT



Upload your invoice

Invoice number (*)

Alternative ID

Date issued (*)

Invoice (*) No file chosen

Bank information

Name of bank (*)

Address of bank (*)

Account holder (*)

Account number (IBAN format) (*)

Bank code (BIC) / SWIFT code (*)

Charges

Status - Eligible

From the OpenAIRE database

APCs Indian rupee
202.45 Euros

Discount %

Got new values?

APCs Indian rupee ▼
202.45 Euros

Discount %

% charging to the pilot? %

Requested Funding Indian rupee
202.45 Euros

Take note:

- **Invoice needs to be attached**
 - Publisher issued: Addressed to Athena Research Centre
 - If invoice is paid for by institution: original invoice + **request for reimbursement** addressed to ARC
- **NO or INCORRECT invoice:** no approval (status: ‘conditionally approved’)

Frontiers Media SA

EPFL - Innovation Square Building I
CH-1015 Lausanne, Switzerland
VAT Number CHE-114.168.540 TVA
www.frontiersin.org

For information:
Mr Ioannis Papaioannou
accounting@frontiersin.org
Tel +41 21 510 17 03

Description of Services:
1 x Article Processing Fee

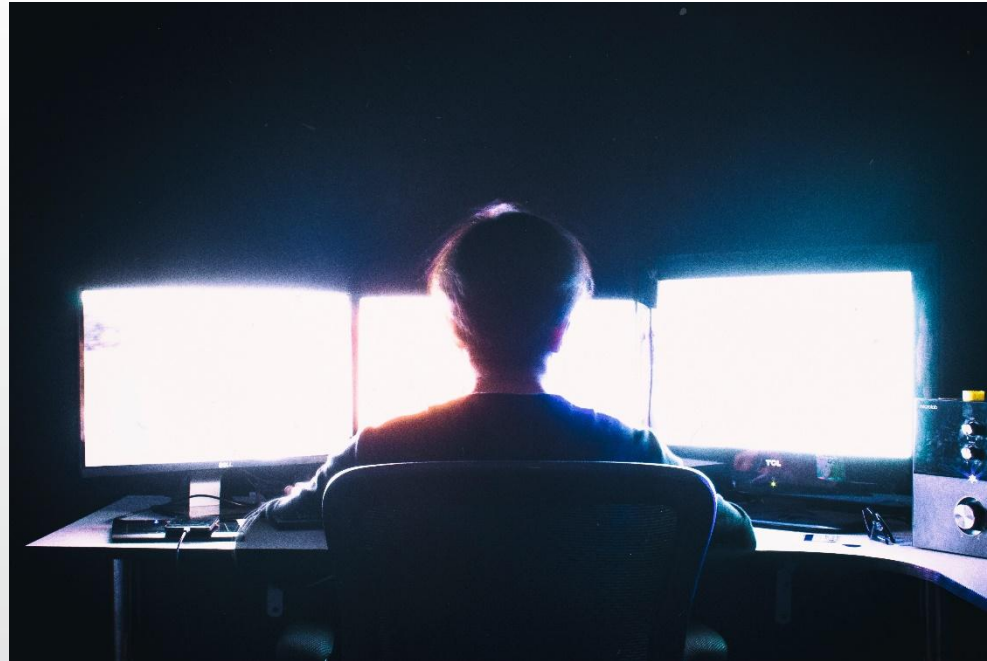
Title “Movement and Perceptual Strategies to Intercept Virtual Sound Sources.”, by Naeem Komeilipoor^{*}, Matthew Rodger, Paola Cesari and Cathy Craig, published in “Auditory Cognitive Neuroscience”.

Invoice

Invoice #:	2015-0033510-5
Date Issued:	13 Apr 2015
Date Due:	13 May 2015
Our Reference:	10.3389/fnins.2015.00149_Craig

Bill to:
Athena” Research Centre
Artemidos 6 & Epidavrou
GR-151 25 Athens
VAT NUMBER: EL999723442
Greece

- **Requests are manually reviewed and approved by LIBER:**
 - Double check CORDIS (end-date)
 - NO HYBRID Journals
 - Project Coordinator approval
 - Invoice correct ?



Contact information

- Gwen.Franck@KB.nl
- <https://postgrantoapilot.openaire.eu>
- <https://www.openaire.eu/support/faq#ifaqCat-132>

