

Individualized p-Doped Carbon Nanohorns

Anastasios Stergiou,^[a] Zheng Liu,^{[b], [c]} Bin Xu,^[d] Toshiro Kaneko,^[d] Christopher P. Ewels,^[e] Kazu Suenaga,^[c] Minfang Zhang,^[c] Masako Yudasaka,^[c] and Nikos Tagmatarchis*^[a]

Abstract: A facile approach to individualize spherically aggregated pristine carbon nanohorns (*pr*-CNHs) was established. Specifically, we found that treatment of *pr*-CNHs with chlorosulfonic acid generates positively charged polarized species, which disintegrate toward individualized carbon nanohorns (*in*-CNHs). Interestingly, the isolated *in*-CNHs unveiled to be p-doped owed to the adsorption of chlorosulfonate units. The findings were justified and proved by data derived from high-resolution transmission electron microscopy imaging, Raman and ultraviolet photoemission spectroscopy, while additionally supported by theoretical calculations and thermogravimetry.

Individualization of synthetic carbon allotropes, i.e. carbon nanotubes (CNTs), graphene, and carbon nanohorns (CNHs), is critical for attaining full advantage of their novel properties. For example, the characteristic photoluminescence of single-walled CNTs (SWCNTs) can be observed only in debundled material, particularly in isolated SWCNTs,^[1] while graphene (1-10 layers), a well known zero band-gap semiconductor, emits light under femtosecond pump-probe excitation unlike graphite stacks.^[2] Another important parameter for the better applicability of synthetic carbon allotropes is to keep the individualized species intact from chemical and structural defects. Incorporation of defects on the sp^2 -hybridized carbon lattice disrupts the extended π -conjugation and lowers conductivity and mechanical strength.^[3] Defects mainly originate from solvents and/or gases dissolved in those solvents employed en route for debundling and/or exfoliation,^[4] while application of mechanical stretch leads to cracking of the graphitic lattice.^[5] Additionally, heteroatoms such as hydrogen, oxygen and nitrogen are often inserted within the graphitic lattice, hence, highly pure defect-free materials remain a challenge for organic electronics and biological applications.

Ideally, CNHs are considered as monolayered graphene wrapped into a long conical tip. They are encompassed of sp^2 -hybridized carbon atoms, forming closed nanocages with 2-5 nm

in diameter and 20-50 nm in length. Pristine CNHs assemble in spherical clusters with size 80-100 nm in diameter, during production via laser ablation of graphite. Structurally, CNHs contain not only hexagonal but also pentagonal and heptagonal rings and this particular motif resulted in a rich and varied chemistry.^[5] Besides the enhanced reactivity associated with decrease in aromaticity at defects, local chemical reactivity is also increased at regions of higher curvature of CNHs due to pyramidal distortion of the sp^2 carbon hybridization.^[6] Importantly, CNHs offer as key advantage over CNTs the absence of metal catalyst in their synthesis. Specifically, the presence of metal particles in the production of CNTs necessitates additional treatment with strong acids, a detrimental process for the graphitic network due to the incorporation of defects. Contrary, pure CNHs are easily accessible, better facilitating the study and understanding of their properties and applications. Nonetheless, the research and development of CNHs has been decelerated by their aggregation, rendering dispersion and dismantling difficult, hence prohibiting processing of individualized species and limiting their immediate utility particularly in bioapplications.

Without surprise, individualization of CNHs is critical and in this direction smaller aggregates were initially obtained upon dispersion of pristine CNHs in deuterated sodium dodecyl benzene sulfonate followed by ultracentrifugation.^[7] Later on, sucrose density gradient centrifugation of oxidized CNHs, dispersed by sodium cholate, allowed the isolation of low-yield fractions of individualized CNHs, in a virtually non-scalable method, thus non-applicable for bulk production.^[8] Recently, some of us succeeded the dismantling and individualization of CNHs by the reductive dissolution of the raw aggregates in the presence of potassium naphthalenide under an inert atmosphere.^[9] However, in the latter methodology, the essential requirement of a glove box, not only for performing the processing en route for dismantling CNHs, but also for protecting the reduced material from air and moisture, is itself a serious drawback, particularly for handling the material. Significantly, in all those three approaches for individualizing CNHs, the final material was obtained functionalized and accompanied by amorphous graphitic particles.

Carbon nanotubes, graphene and C_{60} are weak Brønsted bases and protonation of the graphitic lattice takes place under treatment with a strong Brønsted acid. Considering that superacids, stronger than 100% sulfuric acid, act as proton donors, SWCNTs and C_{60} can be converted to protonated nanocarbon derivatives upon superacid treatment.^[10] In fact HC_{60}^+ carbocation has been successfully isolated in solid state via treatment into an artificial superacid.^[10] The highly symmetric carbon cage offers efficient electronic stabilization of the carbocation, through delocalization of the positive charge. In contrast to C_{60} , nuclear magnetic resonance studies on SWCNTs mixed with commercial superacids propose the polarization of the carbon lattice due to the highly electrophilic protons of the superacid, instead of a direct protonation mechanism.^[11]

Motivated by the aforementioned points, we succeeded in the individualization and isolation of intact CNHs, without being structurally modified, in bulk quantities, i.e. gram scale. Herein, a

[a] A. Stergiou, Dr. N. Tagmatarchis Theoretical and Physical Chemistry Institute, National Hellenic Research Foundation 48 Vassileos Constantinou Avenue, Athens 11635 (Greece) E-mail: tagmatar@eie.gr

[b] Dr. Z. Liu Inorganic Functional Materials Research Institute, National Institute of Advanced Industrial Science and Technology (AIST) Anagahora 2266-98, Shimoshidami, Moriyamaku, Nagoya 463-8560 (Japan)

[c] Dr. Z. Liu, Dr. K. Suenaga, Dr. M. Zhang, Dr. M. Yudasaka Nanomaterials Research Institute, National Institute of Advanced Industrial Science and Technology (AIST) Central 5, 1-1-1 Higashi, Tsukuba 305-8565 (Japan)

[d] Dr. B. Xu, Dr. T. Kaneko Department of Electronic Engineering, Tohoku University 6-6-05 Aoba, Aramaki, Aoba-Ku, Sendai 980-8579 (Japan)

[e] Dr. C. P. Ewels Institut des Matériaux Jean Rouxel, CNRS, Université de Nantes 2 Rue de la Houssinière, BP32229, 44322 Nantes (France)

Supporting information for this article is given via a link at the end of the document.

scalable process based on superacid treatment of spherically aggregated CNHs and the isolation of individualized nanohorns is reported. The individualization process initiates via the polarization of the sp^2 lattice of pristine CNHs by the proton of the superacid, in a similar process to the one reported for SWCNTs. Dispersions of individualized nanohorns with concentration higher than 2 mg/mL are stable for more than a year. Raman spectroscopy, high-resolution transmission electron microscopy (HR-TEM) imaging and ultraviolet photoelectron spectroscopy (UPS), complemented by theoretical calculations, modeling and thermogravimetry, delivered meaningful information in terms of nature and quality for the nanohorn species isolated. Importantly, the individualized material displays a p-doped behavior, arising from adsorbed chlorosulfonate anions onto the graphitic skeleton (see below).

The dismantling process of pristine CNHs, abbreviated as **pr-CNHS**, was carried out upon mixing with chlorosulfonic acid under mild sonication. Similarly to other graphitic nanocarbon materials, chlorosulfonic acid interacts electrostatically with CNHs without prompting chemical oxidation. In this sense, the highly electrophilic protons of the acid polarize the sp^2 -carbon network generating positively charged nanocarbon species, abbreviated as **chr-CNHS**, and initiate electrostatic repulsive forces within the spherically aggregated cluster, thereby, inducing disintegration toward individualized nanohorn species, abbreviated as **in-CNHS**. Ultimately, addition of water in the reaction system hydrolyses the superacid and precipitates the **in-CNHS**. Additionally, the local heat and gaseous HCl generated upon the exothermic breakdown of chlorosulfonic acid by water trigger further the individualization process.

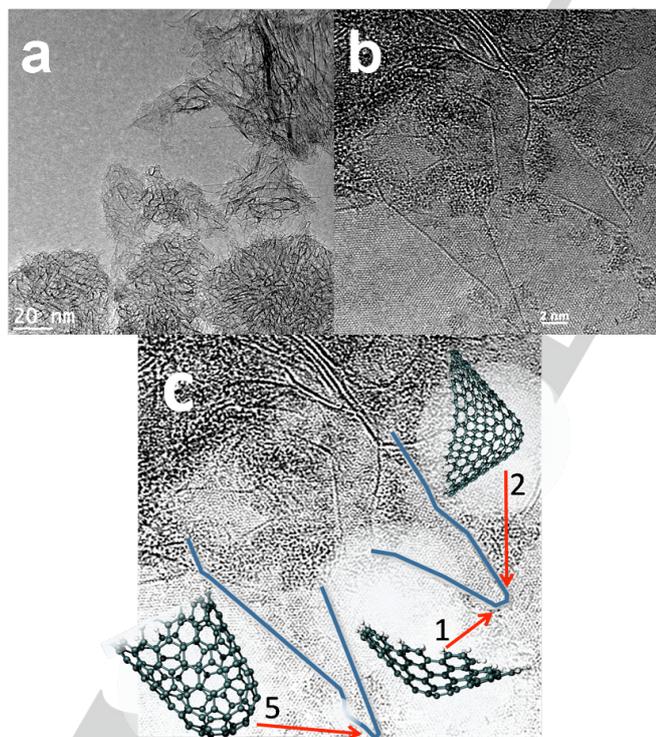


Figure 1. Representative HR-TEM images for (a) **pr-CNHS**, and (b) isolated **in-CNHS**. (c) Schematic highlighting the presence of the two **in-CNHS** shown

in Fig. 1b, with possible atomic scale structures at different points around the cone tips indicating the number of pentagons corresponding to the change in observed angle.

The individualization of CNHs is strongly supported by HR-TEM imaging. Specimens for imaging were prepared by dispersing the material in methanol and dropping it onto Mo micro grids covered by monolayered boron nitride (BN) film. The particular grid offers the advantage of supporting the **in-CNHS**, which are clearly visible with distinct contrast on the monolayered BN substrate, even though the lattice of h-BN is also visible underneath the contrast of **in-CNHS**. In Fig. 1, HR-TEM images of **pr-CNHS** and **in-CNHS** are compared. While only spherical superstructures due to **pr-CNHS** were visualized under the microscope, superacid treatment of **pr-CNHS** resulted in the breakdown of those aggregates, yielding **in-CNHS**, imaged as single conical tubules with diameter 2-5 nm and length 20-40 nm (see also Supporting Information, Fig. S1). Furthermore, the size distribution of **in-CNHS** was measured by dynamic light scattering (DLS), a powerful tool to analyze dilute dispersions of materials and obtain information about their size in liquid phase. Comparing with DLS assays performed on **pr-CNHS** in DMF, it is found that the apparent hydrodynamic radius of **in-CNHS**, calculated by employing cumulant analysis, was smaller as compared to that owed to **pr-CNHS** (i.e. 50 nm vs 100 nm). The smaller size for **in-CNHS** vs **pr-CNHS** identified in the DLS studies is in accordance with the HR-TEM findings, particularly with the presence of individualized species. However, one should keep in mind that the actual values for the hydrodynamic radius as estimated by DLS cannot be directly compared with the size of species imaged by HR-TEM, since the former reflect interactions with solvent in liquid phase, which are obviously absent when performing HR-TEM imaging in the solid state, while also depend on the shape of the dispersed nanostructure, i.e. **in-CNHS** possess elongated conical morphology, in contrast to spherical **pr-CNHS**.

UV-Vis spectroscopy assays of as prepared dispersions of CNHs in chlorosulfonic acid, **chr-CNHS**, do not reveal any new spectral features (Supporting Information, Fig. S2), unlike SWCNTs and C_{60} , where the protonated nanocarbon materials exhibit an absorption peak at 800-1000 nm corresponding to *in-situ* generated carbocationic species.^{[1], [10]} Moreover, the electronic absorption spectrum of **in-CNHS** remains featureless, likewise the UV-Vis spectrum of **pr-CNHS**.

In the Raman spectrum of **pr-CNHS**, two characteristic bands are present (Fig. 2), the G-band located at 1574 cm^{-1} and assigned to the E_{2g} -like vibrations within the sp^2 hybridized carbon atoms and the D-band ascribed to the A_{1g} -symmetry modes located at 1335 cm^{-1} and attributed to the loss of the basal symmetry. The D-band in the Raman spectrum of **in-CNHS** was identical to the one owed to **pr-CNHS**, demonstrating that no chemical oxidation, via introduction of oxo-functionalities onto the graphitic framework, took place under the chlorosulfonic acid treatment, proving that the composition of the lattice was maintained.^[12] As already discussed, it is well established that treatment of C_{60} , SWCNTs and graphene with superacids either directly protonates (i.e. C_{60}) or polarizes (i.e. SWCNTs and

graphene) the C=C bonds in a reversible and non-oxidative manner.^{[10], [12]}

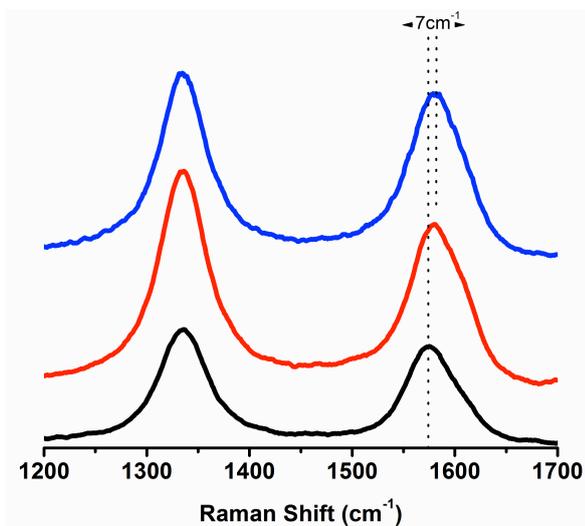
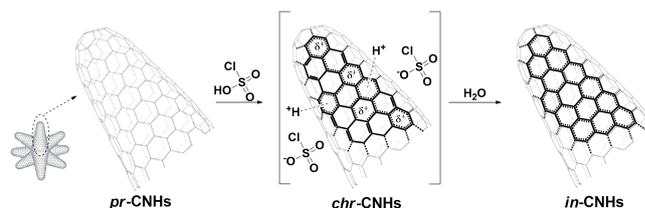


Figure 1. Raman spectra for *pr*-CNHs (black), *chr*-CNHs (blue) and *in*-CNHs (red), obtained upon excitation at 514 nm.

The solubilization of carbon allotropes in strong Brønsted acids arise from a charge-transfer process from the valence band of the nanocarbon material to the highly electrophilic protons of the superacid. Careful examination of the G-band position in *pr*-CNHs and *in*-CNHs reveals that treatment of *pr*-CNHs with chlorosulfonic acid resulted in 7 cm⁻¹ shift of the G-band to higher wavenumbers. Considering the high sensitivity of G-band to electronic doping effects, the latter blue shift identified in *in*-CNHs is directly related to loss of electrons from the valence band, namely to p-doping of the carbon lattice.^[13] We propose that the precursors of *in*-CNHs – the species that exist before the aqueous quenching – are ion pairs consisting of positively charged CNHs and chlorosulfonate counter ions. Importantly, the Raman study for *chr*-CNHs revealed an enhancement of the D/G ratio by ca. 30% as compared to that due to *pr*-CNHs, which is restored in *in*-CNHs (Fig. 2). The amplification of the D/G ratio in *chr*-CNHs is driven by the extended polarization of the sp² lattice of nanohorns by chlorosulfonic acid. Hence, the decrease of electron density of the valence band in *pr*-CNHs enriches their sp³ nature against sp², thereby, inducing the enhancement of the D/G ratio. Likewise in *in*-CNHs, the G-band of *chr*-CNHs was similarly found blue-shifted by 7 cm⁻¹ as compared to that owed to *pr*-CNHs, proving that the p-doping process initiates at that stage and not during the quenching with water. Moreover, the addition of water into the superacid surrounded ion pairs of *chr*-CNHs hydrolyses the large excess of chlorosulfonic acid, prompting precipitation of *in*-CNHs. The aforementioned mechanism based on the Raman findings is graphically illustrated on Scheme 1.



Scheme 1. Polarization of the sp² skeleton of *pr*-CNHs upon chlorosulfonic acid treatment inducing positive charges which eventually due to electrostatic repulsive forces result on the generation of *in*-CNHs.

The p-doping of *in*-CNHs is further verified by ultraviolet photoelectron spectroscopy. Figure 3 shows the ultraviolet photoemission spectra of *pr*-CNHs and *in*-CNHs at ambient conditions. The energy difference between the highest occupied molecular orbital level and the vacuum level, which corresponds to the ionization potential, is estimated by fitting a straight line and determining its intersect with the energy axis. It is found that the ionization potential of *pr*-CNHs is 4.7 eV. On the other hand, the ionization potential of *in*-CNHs is 4.8 eV, higher than that of *pr*-CNHs, justifying that the material is p-doped.

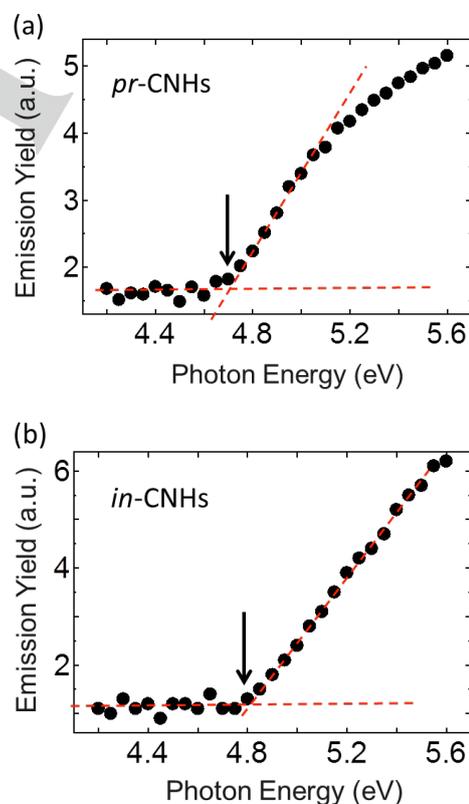


Figure 3. Ultraviolet photoemission spectra for (a) *pr*-CNHs, and (b) *in*-CNHs at ambient conditions. The arrows show the HOMO level, which corresponds to the ionization potential.

Density-functional (local density approximation) calculations^[14] were employed to explore the interaction of chlorosulfonate anions with both graphene (using a 128-carbon atom hexagonal 8x8 unit cell supercell with a 2x2x1 k-point grid) and a 5-pentagon nanohorn ($C_{153}H_{15}$). The charge density was fitted using plane waves, with wave functions constructed using 18/28/40/40 independent Gaussian based functions used for C/S/Cl/O with angular momentum up to $l=2$, and electron temperature of $kT = 0.01$ eV. The chlorosulfonate anion preferentially arranges itself above a pentagon of the nanohorn tip with two oxygen atoms above neighbouring carbon atoms and Cl above the opposite carbon (Figure 4a). A charge transfer of 0.77e is seen from the nanohorn tip to the anion. In contrast the anion binding is 0.288 eV (6.64 kcal/mol) stronger to graphene, with a 0.91e transfer. This suggests preferential binding of the anion to the nanohorn sidewalls rather than tips.

We can use the calculated charge transfer and experimental Raman G-band shift to estimate the surface coverage of chlorosulfonate anions. Calculations of the G-band frequency for graphene were performed on a 2-atom graphene unit cell with a 24x24x1 k-point grid, 38 functions per carbon and finite electron temperature of $kT = 0.04$ eV. Figure 4b shows the frequency shift for a graphene sheet as a function of charge state. Considering curvature effects as negligible these frequencies can be compared directly to the experimental G-band Raman modes of the nanohorns. Within the range of experimental error they agree well with the observation that *pr*-CNHs begin typically n-type (1574 cm^{-1}) and after separation to their individual components, *in*-CNHs shift towards p-type (1581 cm^{-1}). This 7 cm^{-1} shift, from Fig. 4b implies a charge-transfer of 0.0055 electrons per carbon atom during this process, thus with our calculated charge transfer of 0.91e per chlorosulfonate anion, this implies one chlorosulfonate anion per every 140 carbon atoms.

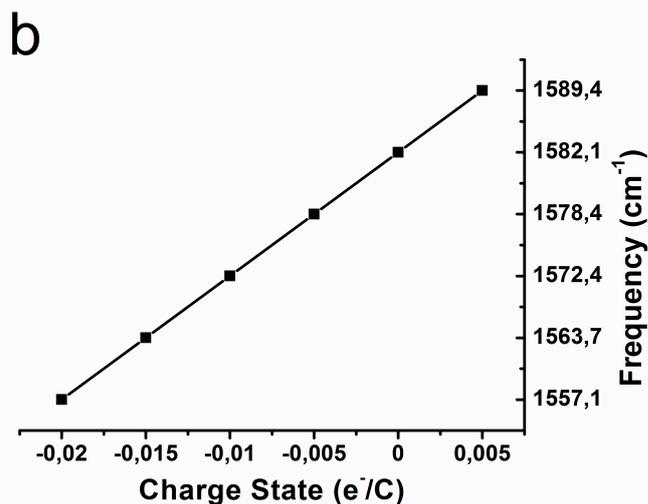
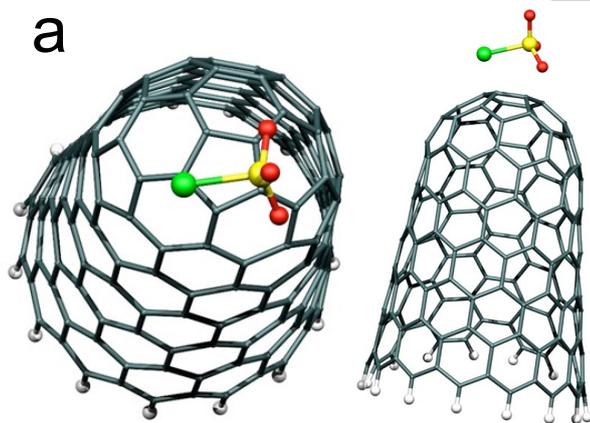


Figure 4. (a) DFT optimized structure of $ClSO_3$ at the tip of 5-pentagon *in*-CNH ($C_{153}H_{15}$); top view (left) and side view (right). The distance to the nearest carbon atom in the nanohorn tip is: 2.44 Å and 2.66 Å (two O atoms), 2.96 Å (Cl) and 3.27 Å (S). (b) Calculated variation in G-band frequency (cm^{-1}) for a graphene sheet as a function of graphene charge state (electrons per carbon atom). The best fit line corresponds to the formula $y = (1272.6x + 1583.4)\text{ cm}^{-1}$.

Next, dilute DMF dispersions of *pr*-CNHs and *in*-CNHs were drop-casted onto a glassy carbon electrode (GCE) and with the aid of cyclic voltammetry we found that the current was increased due to the higher conductivity of nanohorns (Supporting Information, Fig. S3). Moreover, from CV assays conducted in the presence of Fc/Fc^+ , which can be reversibly oxidized under an applied potential, it was deduced that Fc oxidizes easier as compared to the bare GCE for both *pr*-CNHs and *in*-CNHs (Supporting Information, Fig. S4).

Finally, the thermal stability of *in*-CNHs was examined by thermogravimetric analysis (TGA) conducted under nitrogen atmosphere. The exceptional thermal stability of *pr*-CNHs, up to $900\text{ }^\circ\text{C}$ under inert conditions was initially proved. However, when *in*-CNHs examined, a weight loss in the temperature range $195\text{--}250\text{ }^\circ\text{C}$ was observed (Fig. 5). Considering that (a) *in*-CNHs were vacuum dried ($100\text{ }^\circ\text{C}$, 0.3 bar, 12 hrs) before conducting the TGA assays, and (b) covalently functionalized CNHs thermally decompose at $250\text{--}500\text{ }^\circ\text{C}$ by first losing the attached organic addends,^[15] the relatively lower temperature thermal decomposition identified for *in*-CNHs is assigned to adsorbed residual chlorosulfonate-based species. This is further corroborated by considering that sulfonated resins decompose above $220\text{ }^\circ\text{C}$,^[16] affirming that if sulfonate moieties were covalently anchored on *in*-CNHs their decomposition would have been observed above that temperature. However, the first derivative of the TGA curve for *in*-CNHs shows a major thermal degradation step at $196\text{ }^\circ\text{C}$, a temperature far above the boiling point of trapped sulfuric acid produced during the hydrolysis of chlorosulfonic acid or water molecules and low enough to the one assigned to covalently attached sulfonate functionalities.

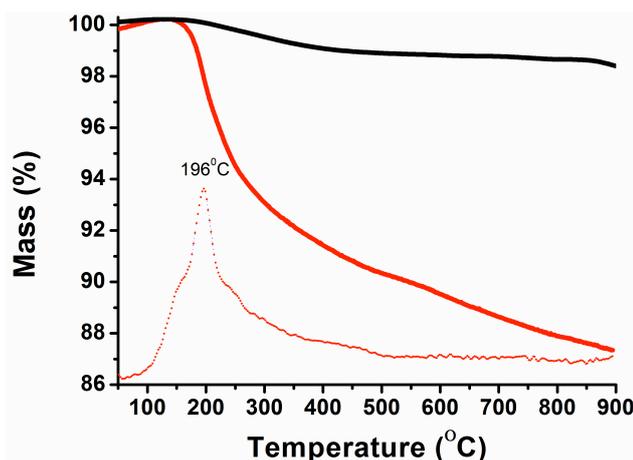


Figure 5. Thermographs for *pr*-CNHS (black) and *in*-CNHS (red), obtained under inert atmosphere. The first derivative of the thermogravimetric curve for *in*-CNHS is also shown (red dotted).

The information derived by TGA, referring to the presence of residual chlorosulfonate species adsorbed on *in*-CNHS, is additionally supported by attenuated-total-reflectance infrared spectroscopy (ATR-IR). The ATR-IR spectrum of *in*-CNHS resembles that of *pr*-CNHS, both dominated by the lack of vibrations due to the absence of any oxo-functionalities (i.e. -C-O-C-, -COOH), hence, validating the non-oxidative superacid treatment. The evolution of two weak broad spectral features around 1050 cm^{-1} and 1250 cm^{-1} (Supporting Information, Fig. S5), assigned to symmetric and asymmetric stretching vibrations of chlorosulfonate anions,^[17] proves that those species are adsorbed onto the carbon framework of *in*-CNHS.

In conclusion, a facile approach for the individualization of carbon nanohorns was developed. Until now, procedures en route for obtaining *in*-CNHS suffer from serious drawbacks, such as handling the material in an air-and-moisture protected environment, while they are also non-scalable. We showed that treatment of spherically aggregated *pr*-CNHS with chlorosulfonic acid, in a scalable process to gram amounts, yields high quality of *pr*-CNHS. The dismantling process involves polarization of the sp^2 lattice of *pr*-CNHS by the proton of the superacid, without prompting chemical oxidation, followed by electrostatic repulsive forces developed within the aggregated cluster, eventually yielding *in*-CNHS. More importantly, adsorption of chlorosulfonate species onto *in*-CNHS resulted in p-doping, which was proved by Raman and ultraviolet photoemission spectroscopy and additionally supported by theoretical calculations. We are currently examining the chemistry and reactivity of those *in*-CNHS, particularly toward the preparation of functional materials for organic electronics and solar cells and we strongly believe that new avenues for this synthetic carbon allotrope are awaiting exploration.

Experimental Section

Preparation of *in*-CNHS: In a round bottom flask, chlorosulfonic acid (100 mL) was added to *pr*-CNHS (200 mg), resulting to rapid dissolution and formation of an ink-like homogeneous solution, and the system was bath sonicated for 2 hrs. To isolate *in*-CNHS in a powder form, the superacid

was carefully quenched under stirring with deionized water [EXTREME CARE SHOULD BE TAKEN. The reaction of chlorosulfonic acid with water is extremely exothermic followed by evolution of gaseous HCl. The quenching procedure must be performed in fume hood.]. The *in*-CNHS precipitated as the quenching proceeds. The addition of water continued until no HCl evolution was observed. The hot mixture allowed reaching room temperature, then filtered through a PTFE membrane filter (0.1 μm pore size) and finally extensively washed with water, methanol and dichloromethane to remove acid and water residues. Afterwards, *in*-CNHS vacuum dried (100 $^{\circ}\text{C}$, 0.3 bar, 12 hrs) and collected as a black powder, which gave stable dispersions in methanol. The procedure can easily be carried out in gram scale with a conventional cleaning sonic bath.

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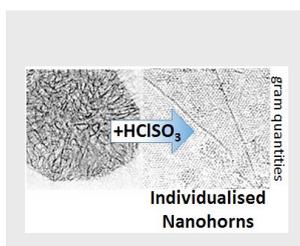
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Page No. – Page No.

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